



RECEIPT
#5

PATENT
601-1-088 N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : JEFFREY WILUSZ AND LANCE P. FORD

SERIAL NO.: 09/320,609

EXAMINER: UNKNOWN

FILED : MAY 26, 1999

ART UNIT: 1648

FOR : SYSTEM FOR REPRODUCING AND MODULATING STABILITY
AND TURNOVER OF RNA MOLECULES

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 on October 26, 1999.

Michael A. Yamin Reg. No. 44,414

(Name of Registered Representative)

Dyan R. Melucci - 10/26/99
(Signature and Date)

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, DC 20231

Dear Sir:

Applicants hereby request that another Corrected Formal Filing Receipt (PTO-103X) be issued to add the following.

Please add the words:

-- SMALL ENTITY --

to the Filing Receipt. Enclosed please find a copy of the Transmittal Form and a copy of the Verified Statement filed on August 25, 1999.

A copy of the Filing Receipt with the requested addition marked in red is also enclosed.

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Applicant accordingly requests that the records of the U.S. Patent and Trademark Office
be corrected to reflect the above.

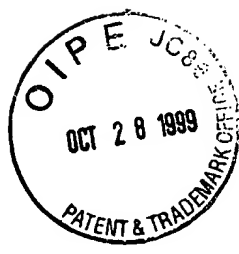
Respectfully submitted,



Michael A. Yamin
Attorney for Applicant(s)
Registration No. 44,414

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201) 487-5800

8/25/99



Serial No. 09/320,609 File No. 601-1-088N By DAJ/MAY/tlc
Title SYSTEM FOR REPRODUCING AND MODULATING...
In the Matter of the Application of WILUSZ ET AL.

The following was received in the U.S. Patent & Trademark Office on the date
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X RESPONSE TO NOTICE TO FILE MISSING PARTS

- | | |
|---|--|
| <input checked="" type="checkbox"/> Transmittal Sheet/Cover Ltr. | <input type="checkbox"/> Extension of Time |
| <input type="checkbox"/> Application For Patent | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Affidavit (exec .) | <input type="checkbox"/> Maintenance Fee |
| <input type="checkbox"/> Drawings <input type="checkbox"/> Sheet(s) | <input type="checkbox"/> Appln. TM Registration |
| <input checked="" type="checkbox"/> S.E. Verified Statements | <input type="checkbox"/> 8 & 15 Declaration |
| <input checked="" type="checkbox"/> Assignment <u>recordal</u> | <input type="checkbox"/> Renewal Application |
| <input checked="" type="checkbox"/> Check for \$ <u>800.00</u> | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Letter <input type="checkbox"/> Missing Parts | <input type="checkbox"/> Brief <input type="checkbox"/> Petition |
| <input type="checkbox"/> Priority Document | <input type="checkbox"/> Power of Attorney |
| <input type="checkbox"/> Amendment <input type="checkbox"/> Response | <input checked="" type="checkbox"/> <u>Fee Transmittal Form</u> |

KLAUBER AND JACKSON
SPECIAL ACCOUNT
411 HACKENSACK AVENUE
HACKENSACK, NJ 07601

10665

PAY
TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

DATE 8/25/99

55-216/212
11

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DOLLARS ☐ Security Mark

THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNTS:

601-1-088N			
09/320609			
MP			

Joann Rodgers

⑈010665⑈ ⑆021202162⑆ 134⑈00888 7⑈

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PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

Application Number	09/320,609
Filing Date	May 26, 1999
First Named Inventor	Jeffrey Wilusz
Group Art Unit	1648
Examiner Name	Unassigned
Attorney Docket Number	601-1-088 N

Total Number of Pages in This Submission 11

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Small Entity Statement	Check
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael D. Davis
Signature	Michael D. Davis
Date	August 25, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 08/25/99

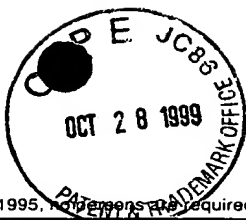
Typed or printed name Tracie Calderone

Signature

Date

08/25/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 800.00

Complete if Known

Application Number 09/320,609
Filing Date May 26, 1999
First Named Inventor Jeffrey Wilusz
Examiner Name Unassigned
Group / Art Unit 1648
Attorney Docket No. 601-1-088 N

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 11-1153
Deposit Account Name Klauber & Jackson

☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	380.
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$)			380.

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid
Total Claims 55 -20** = 35 X 9 = 315.
Independent Claims 3 -3** = (1) X 39 = 0
Multiple Dependent (1) = (1)

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 82	209 41	** Reissue independent claims over original patent	
110 22	210 11	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			315.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			105.00

SUBMITTED BY

Typed or Printed Name Michael D. Davis

Signature Michael D. Davis

Date 08/25/99

Complete (if applicable)

Reg. Number 39,161

Deposit Account User ID 11-1153

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(See reverse for new important information)